

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al.                      Art Unit : 2811  
 Serial No. : 09/814,255                                      Examiner : Ori Nadav  
 Filed : March 21, 2001                                      Conf. No. : 5578  
 Title : SEMICONDUCTOR DEVICE AND ITS MANUFACTURING METHOD

**MAIL STOP AMENDMENT**

Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants request consideration of the references listed on the attached PTO-1449 form. Under 37 C.F.R. § 1.98 (a)(2)(ii), only copies of foreign patent documents and/or non-patent literature are enclosed.

Submitted herewith is an English translation of the following foreign language references, or portions thereof:

Desig. ID	Source
AL	Japan 4273162 – English Abstract: <a href="mailto:esp@cenet.com">esp@cenet.com</a> .
AM	Japan 2215131 – English Abstract: <a href="mailto:esp@cenet.com">esp@cenet.com</a>
AN	Japan 4072722 – English Abstract: <a href="mailto:esp@cenet.com">esp@cenet.com</a>
AO	Japan 1152648 – English Abstract: <a href="mailto:esp@cenet.com">esp@cenet.com</a>
AP	Japan 196420 – English Abstract: <a href="mailto:esp@cenet.com">esp@cenet.com</a>
AQ	Japan 4348548 – English Abstract: <a href="mailto:esp@cenet.com">esp@cenet.com</a>

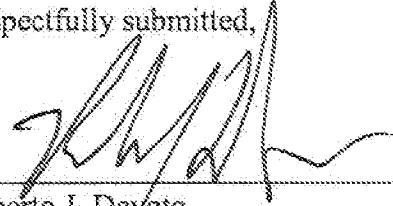
This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. Each item of information in this statement, (i) was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated June 12, 2007, which is not more than three months prior to the filing of this statement, and (ii) was not first cited in any communication from a foreign patent office in a counterpart application which was more than three months prior to the filing date of this statement.

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Attorney's Docket No.: 07977-  
107002 / US3194/3205/3215D1

No fee is believed to be due in connection with the filing of this paper on the Electronic Filing System (EFS). In the event that any fees are due, please apply any charges or credits to deposit account 06-1050.

Respectfully submitted,



Date: August 1, 2007

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Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-107002	Application No. 09/814,255
<b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Shunpei Yamazaki et al.	
		Filing Date March 21, 2001	Group Art Unit 2811

U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
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	AG						
	AH						
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	AJ						
	AK						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	AL	JP01-152648	06/15/1989	JAPAN			Abstract	
	AM	JP02-215131	08/28/1990	JAPAN			Abstract	
	AN	JP04-072744	03/06/1992	JAPAN			Abstract	
	AO	JP04-196420	07/16/1992	JAPAN			Abstract	
	AP	JP04-273162	09/29/1992	JAPAN			Abstract	
	AQ	JP04-348548	12/03/1992	JAPAN			Abstract	

Other Documents (include Author, Title, Date, and Place of Publication)		
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	